

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,380	04/05/2001	Richard K. Duggan	7247-7-RCL

CONFIRMATION NO. 2899

FORMALITIES LETTER



OC000000006080336

Sim & McBurney
6th Floor
330 University Avenue
Toronto, M5G 1R7
CANADA

Date Mailed: 05/16/2001

07/16/2001 MARDI1 00000070 090461 09826380

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:101 710.00 CH
02 FC:102 240.00 CH
03 FC:103 540.00 CH
04 FC:104 270.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$1050.
 - \$540 for 30 total claims over 20.
 - \$240 for 3 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1890.**

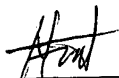
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

07/13/2001 RHARIS1 00000143 090461 09826380
01 FC:105 130.00 CH

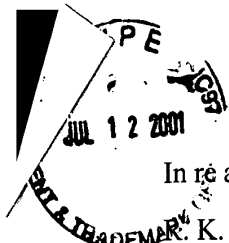
A copy of this notice MUST be returned with the reply.

A handwritten signature in black ink, appearing to be 'H. J. ...', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

#19

In re application of : July 10, 2001
K. Duggan, et al : IBM Corporation
Ser. No. 09/826,380 : Dept.T81/Bldg. 062
Filed: 04/05/2001 : P.O. Box 12195
For: Method for Providing Performance : Park, NC 27709
Data of a Web Server :

Notice to File Missing Parts of Application

Filing Date Granted

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated May 16, 2001, please find enclosed the Declaration and Power of Attorney with original signatures for the above identified application. We are also enclosing substitute drawings in compliance with 37 CFR 1.84. With regard the the statutory basic filing fee missing, the Examiner is authorized to charge the fee of \$1,890. To Deposit Account No. 09-0461 to complete the basic filing fee.

The Examiner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

The surcharge of \$130.00 set forth in 37 CFR 1.16(e)

- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

A. Bruce Clay
A. Bruce Clay
Attorney for Applicants
Reg. No. 32,121

ABC:cmr
enclosure
Docket No: CA920000050US2
Phone: 919-254-6717
Fax: 919-254-4330



sector #3
Docket No. CA920000050US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: R. K. Duggan, et al

Serial No.: 09/826,380

Filed: 04/05/2001

For: Method for Providing Performance Data of a Web Server

Assistant Commissioner of Patents
Customer Service Center
Initial Patent Examination Division
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Notice to File Missing Parts of Application Filing Date Granted (In duplicate).
2. Copy of PTO Notice to File Missing Parts
3. Declaration and Power of Attorney Signed by Applicants.
4. Substitute Formal Drawings (14 sheets)
5. Business Reply Post Card.

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents
Customer Service Center
Washington, D.C. 20231

on July 10, 2001

Catherine M. Robbins

(Name of person mailing paper or fee)

Catherine M. Robbins
(Signature of person mailing paper or fee)